

Governance and Audit Committee Work Programme 2021-22

1. Current Work Programme 2021/22:

Area	Tuesday 20.07.21 (4:30pm)	Tuesday 28.09.21 (2pm)	Tuesday 23.11.21 (2pm)	Tuesday 25.01.22 (4:30pm)	Tuesday 29.03.22 (2pm)	Tuesday 19.07.22 (2pm) TBC
Audit Wales (AW)		ISA 260 and Audited Statement of Accounts 2020/21 for Cardiff Council including; Cardiff Harbour Authority and Trust Funds		Annual Audit Summary Report	Annual Audit Plan 2022	
		ISA 260 and Audited Statement of Accounts 2020/21 for Cardiff & Vale of Glamorgan Pension Fund			Cardiff & Vale Pension Fund Audit Plan 2022	
	AW Work Programme and Timetable Update		AW Work Programme and Timetable Update	AW Work Programme and Timetable Update	AW Work Programme and Timetable Update	AW Work Programme and Timetable Update
Performance	Member Breach of Code of Conduct Complaints	Planning and Performance Framework – Update and Overview on the Role of Governance and Audit Committee	Draft Mid-Year Self-Assessment Report			Draft Year-End Self-Assessment Report 2021/22
	Council Complaints and Compliments – Policy and Annual Report 2020/21		Council Complaints & Compliments – Mid Year Report			Council Complaints and Compliments – Policy and Annual Report 2021/22
Treasury Management		Treasury Management Annual Report	Half Year Report	Draft Treasury Management Strategy 2022/23	Treasury Management Practices	
Finance	Financial Update including Resilience Issues	Financial Update including Resilience Issues	Financial Update including Resilience Issues	Financial Update including Resilience Issues	Financial Update including Resilience Issues	Financial Update including Resilience Issues
	Draft Statement of Accounts 2020/21				Statement of Accounts 2020/21: Accounting Policies and Timescales	Draft Statement of Accounts 2021/22
Internal Audit	Audit and Investigation Team - Progress Update		Audit and Investigation Team - Progress Update	Audit and Investigation Team - Progress Update & Summary Audit Plan 2022/23	Audit and Investigation Team - Progress Update	Audit and Investigation Team - Progress Update
	Internal Audit Annual Report 2020/21	Counter-Fraud Annual Report 2020/21			Audit Charter and Audit Plan 2022/23	Internal Audit Annual Report 2021/22
Governance and Risk Management	Audit Committee Annual Report 2020/21					Governance and Audit Committee Annual Report 2021/22
	Draft Annual Governance Statement 2020/21			Senior Management Assurance Statement and AGS Action Plan 2021/22 (Mid-Year)		Draft Annual Governance Statement 2021/22
	Corporate Risk Management Year-End		Corporate Risk Management 2021/22 (Mid-Year)		Corporate Risk Management (Quarter 3)	Corporate Risk Management (Year-End)
	Recommendation Tracker – Reports of External Review Bodies			Recommendation Tracker – Reports of External Review Bodies		
Senior Officer Updates (Operational Matters / Key Risks / Other)		<i>TBC – Davina Fiore – Update on Governance and Legal Services Directorate Control Environment</i>	<i>TBC – Andrew Gregory – Update on Planning Transport and Environment Directorate Control Environment</i>	<i>TBC – Chris Lee – Update on Resources Directorate Control Environment</i>	<i>TBC – Directorate Control Environment</i>	<i>TBC - Chief Executive – Update on the Council’s Control Environment</i>

2. Matters to be addressed outside of formal Committee meetings during 2021/22:

Audit Committee	General	Director / Senior Officer Assurance
<ul style="list-style-type: none"> Annual self-assessment workshop (25.01.22) Training and development sessions. 	<ul style="list-style-type: none"> Correspondence, publications and reports for information purposes Time sensitive consultation. 	<ul style="list-style-type: none"> Use of letters, correspondence, and video / telephone meetings as necessary.